REMARKS/ARGUMENTS

Applicant notes the Examiners allowance of Claims 47-66, and that they are allowable over the prior art of record. However, the Examiner has withdrawn allowance of Claims 1-11 because of newly discovered reference to Page et al U. S. Patent No. 5,215,522.

Applicant has set forth amended independent Claims 1 and 8 with clear and distinct language to more clearly distinguish over the prior art of Page et al '522.

To begin with, the Examiner has rejected Claim 1 on the statement that Page et al '522 teaches a suction control valve used in a suction system "wherein the system is a closed tracheal suction system." This statement is incorrect. The Page et al suction control valve is specifically designed and will only function in "an open type of respiratory system" (Page et al Column 1, Lines 35-37) and provides a "single use disposable non-ventilating aspirating device" (Column 1, Lines 55-58). Page et al in '522 specifically states that there are two categories of medical aspirating devices which are first closed systems left connected for long periods of time to a ventilator system and used multiple times repeatedly and the second is open style systems which use a single use disposable device not remaining connected to a ventilator (Column 1, Lines 28-

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37). See attached Fig. 1 of Page which clearly shows a single use system with no ventilator attachment provided.

The present invention suction control valve is specifically designed to be used primarily in a closed system although it can be used in both closed and open while the Page et al '522 suction control valve will <u>only</u> function in open systems because it is not designed to be used in conjunction with a ventilator circuit nor will it prevent the loss of ventilation out the suction control valve (see Page 2 of pending application).

In addition, the Examiner goes on to reject Claim 1 on the basis that the "piston portion of Page's suction control valve hermetically seals off fluid and air flow communication between the suction tube and the source of suction." This statement is also incorrect. Hermetic is defined as providing an airtight seal. Page et al '522 specifically **teaches away** from an airtight internal hermetic seal which is the opposite of one of the primary novel teachings of the suction control valve of the present invention. The suction control valve described in Figures 8 and 9 of Page et al '522 relied upon by the Examiner simply has a flat valve plate **286** loosely fitted into valve body slot **208**. The "width and thickness of the valve plate **286** are slightly less than the width and thickness of valve slot **208**" (Column 10, Lines 47-64). This means that there is a pre-determined space or gap

between valve plate <u>286</u> and valve slot <u>208</u> (Column 10, lines 60-63). Further, the valve plate is specifically designed to provide a **release of air** between the valve plate <u>286</u> and valve slot <u>208</u> during actuation of the valve (Column 11, Lines 47-49).

This gap providing a release of air (non-hermetic seal) is apparently in the present applicant's opinion critically necessary to the operation of the valve since any teaching of a structural seal between the valve plate <u>286</u> and the valve slot <u>208</u> could result in a malfunction of the valve caused by buckling or distortion of the rubber molded valve plate <u>286</u> when the actuator <u>282</u> is depressed to apply suction.

Thus there is no leak proof airtight hermetic seal within the Page et al '522 valve illustrated and described in FIGs 8 and 9 as is the case with the suction control valve of the present invention.(see Page 9 of present invention).

In addition, "the actuator <u>282</u> may reciprocate up and down <u>loosely</u> through aperture <u>316</u>" (Column 11, Lines 12-16) in Page et al '522. The suction control valve of Page et al '522 illustrated and described in Figures 8 and 9 could not prevent the loss of positive pressure ventilation out the suction control valve

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nor act to seal off any leakage of suction when the valve is left attached to a suction line.

By comparison, the suction control valve of the present invention as described on Pages 9 and 10 has a closed piston portion which includes "A leak proof and airtight slideable seal." As such, Claim 1 now has amended language which clearly states that the suction system has a plunger which includes a piston portion and most importantly that the piston portion includes a leak proof and airtight slideable seal in its non-suction applied position. This language clearly distinguishes over the non-airtight Page et al '522 valve and all the other known prior art suction control valves used in closed suction systems. Likewise, Claim 8 now has identical amended language used in Claim 1 and dependent Claims 2, 4, 5, 6, 7, 9, 10, 11 rely upon now amended independent Claims 1 and 8. As such, it is believed that independent amended Claims 1 and 8 and their dependent Claims 2, 4, 5, 6, 9, 10 and 11 are in a position for allowance.

For the record, the applicant wishes to thank the Examiner for bringing Page et al '522 patent to his attention for it has sparked some considerable research as to the allowance of the claims contained in the Page et al '522 patent.

Note that the present applicant (Russo) has cited and described the limitations of prior art closed system suction control valves to Palmer U.S. Patent No. 4,569,344 and to Hollister U.S. Patent No. 5,073,164 in his pending application. As mentioned in a previous Office Action response on the pending application, the U.S. Court of Appeals for the District of Utah in Case No. 00-1393 clearly defined the Palmer '344 suction control valve to be limited to a static seal rather than the dynamic seal of the type disclosed as the suction control valve of the present invention.

Unexplainably, the Page et al '522 patent has over 100 prior art references and multiple cited publications, but does not cite the most relevant Hollister patent '164 which issued a full 1-½ years before issuance of the Page et al '522 patent. The Hollister '164 patent goes on to state that the Hollister suction control valve is commercially available and in wide use under the trade designation Steri-Cath® Model No. 6100 from Smiths Industries Medical Systems (Column 1, Lines 48-53). This means that the Hollister '164 suction control valve was in commercial distribution prior to the May 2, 1990 filing date of the Hollister patent which is a full year prior to the April 5, 1991 filing date of the Page et al '522 patent.

Further, the Page et al '522 patent is assigned to Ballard Medical Products which is a direct competitor to Smiths Industries Medical Systems. Further, evidence of the commercial availability and public use of the Smiths Steri-Cath® suction control valve are contained in the attached FDA Notice of marketing approval dated July 12, 1990 (yellow highlighted) along with Steri-Cath® literature from that time which clearly shows the Hollister '164 catalog number suction control valve. Even more disturbing is the fact that Hollister '164 illustrates and describes a suction control valve whose function, structure and operation is described in the allowed claims of the much later issued Page et al '522 patent (see Hollister '164 FIG. 3, Column 4, Lines 53-65). Clearly, Hollister '164 should have been a major part of the review of the Page et al '522 patent application. Of course, as described on Pages 2 and 3 of the pending application, the Hollister '164 Steri-Cath® suction control valve has an obstructed flow path in the suction applied position and has no teaching of an air and fluid tight slideable seal.

As such, favorable consideration and allowance of Claims 1-11 is warranted and requested at this time. However, if the Examiner sees language chances that he believes better defines over the prior art relied upon, such input in the furtherance of allowance in support of the application is welcome.

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Respectfully submitted,

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Attachments (5 pages)

Steri-Cath Closed Ventilation Suction Systems

Attachment Sev. No. 10/058540

Concord® Steri-Cath® Suction Systems are designed for tracheal suctioning of critically ill patients on ventilatory support systems. The Steri-Cath Systems reduce the risk of contamination by eliminating clinician contact with the catheter. The systems help to reduce oxygen desaturation by allowing clinicians to constantly ventilate patients. These systems are cost effective and convenient to use. Steri-Cath Systems feature the calibrated Maxi-Flo® catheter. The distal tip has a soft, 15 degree beveled tip with smooth lateral eyes. The thumb valve allows simple "on-off" manipulation of the suction source and its raised edges prevent the valve from being inadvertently activated. A light-weight, clear T-piece connects the patient's endotracheal or tracheostomy tube with the ventilator breathing circuit, allowing simultaneous ventilation and suctioning. Steri-Cath is available with a single lumen or dual lumen catheter. Each kit contains a swivel, Trac-Wedge® and patient label with day

The safe system that gives you more.

of the week stickers.

ELIMINATES AEROSOLIZATION

 Closed ventilation suction system reduces crosscontamination

EASY TO USE

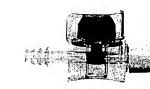
 Remains connected to the patient, eliminates set-up time

REDUCES O₂ DESATURATION

Provides for continuous ventilation

LIGHTWEIGHT, FIXED T-PIECE DESIGN REDUCES DEAD SPACE

 Less weight reduces torque on endo/trach connection



Attachment Sev. No. 10/058540

ORDERING INFORMATION

| STERI-C | Cath Closed $old V$ | ENTILATION SUCTION SYSTEMS | |
|----------|---------------------|--|-------------------|
| Cat. No. | French Sizes | Description | Units Per Case |
| 6100 | 10, 12, 14, 16 | Steri-Cath single lumen | 20 |
| | | Steri-Cath single lumen, tracheostomy | |
| 6102 | | 15mm/22mm adaptor | 50 |
| | | 15mm/22mm adaptor with 6" flex tube | |
| 6104 | 14, 16 | Steri-Cath single lumen w/one-way valve | 20 |
| 6105 | | Oral Cath, oral care system | 20 |
| 6106 | 14, 16 | Steri-Cath single lumen lavage | 20 |
| | | 15mm/22mm adaptor with 3" flex tube . | |
| 6108 | 14 | Steri-Cath single lumen, coudé | 20 |
| | | Steri-Cath single lumen, tracheostomy s w/one-way valve | |
| 6110 | 12, 14, 16 | Steri-Cath dual lumen | 20 |
| 6111 | 12,14fr | Steri-Cath dual lumen, tracheostomy siz | .e20 |
| 6116 | 14, 16 | Steri-Cath dual lumen lavage 20ml saline vials | 20 |
| 6117 | 14, 16 | Steri-Cath dual lumen lavage 15ml saline vials | 20 |
| 6118 | 12, 14, 16 | Steri-Cath single lumen | 20 |
| 6119 | 14 | Steri-Cath single lumen | 20 |
| | | tracheostomy size 15mm/22mm with 3" flex tube | |
| 6127 | | 15ml Modudose® saline vials | 144 |
| 6128 | | 20ml Dey Vial® saline vials | 100 |
| | | Steri-Cath single lumen lavage tracheostomy size, 15ml saline vials | • |
| 6166 | 14 | Steri-Cath dual lumen lavage tracheostomy size 20ml saline vials | 20 |
| 6186 | 14, 16 | Steri-Cath single lumen lavage 15ml saline vials w/one-way valve | 20 |

 $All\ Steri-Cath\ Suction\ Systems\ contain\ a\ swivel,\ Trac-Wedge\ and\ patient\ label.$



Attachment Sev. No. 10/058 540



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| Registration | Listing | Adverse 510

Advisory

Committees

| PMA | Classification | CLIA

(k)

Events

CFR Title 21

| Assembler | NHRIC | Guidance | Standards

New Search

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510(k) Premarket Notification Database

Catheters, Suction, **Device Classification Name**

Tracheobronchial

K902383 510(K) Number

Regulation Number 868.6810

Device Name Steri-Cath(Tm) Concord/Portex

15 Kitt St.

Applicant Keene, NH 03431

Contact Robert Wheeler

BSY Product Code

Date Received 05/30/1990 07/12/1990 **Decision Date**

Substantially Equivalent (SE) Decision

Classification Advisory Anesthesiology

Committee

Review Advisory Committee

Statement/Summary/Purged

Status Type

Reviewed By Third Party

Anesthesiology

Purged, No Summary Or

Statement

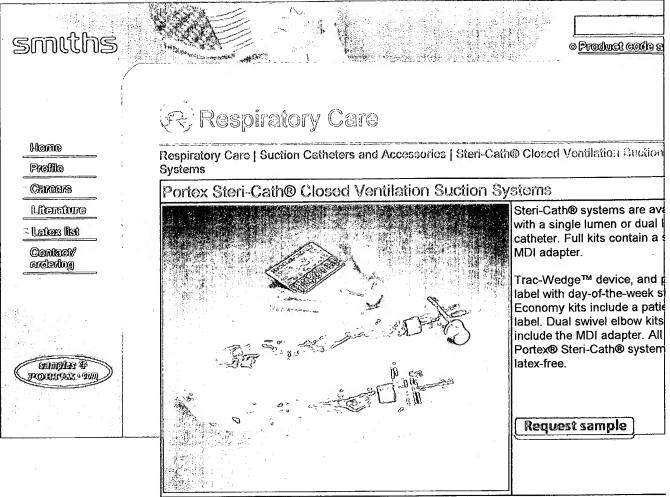
Traditional

No

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Steri-Cath® suction systems are designed for airway suctioning of critically ill patients ventilatory support.

Steri-Cath® systems reduce the risk of contamination by isolating clinician contact with the cal The systems help reduce oxygen desaturation by allowing constant patient ventilation. These systems are cost effective and easy to use.

Steri-Cath® Systems Feature the Calibrated Maxi-Flo® Catheter

- o The distal tip has a soft, 15° bevel with smooth lateral eyes
- o The thumb valve allows simple "on-off" manipulation of the suction source, and its raised ed reduces the risk of the valve being inadvertently activated
- A lightweight, clear T-piece connects the patient's endotracheal or tracheostomy tube with t breathing circuit, allowing suctioning during mechanical ventilation without circuit disconnection

Steri-Cath® Single Lumen Systems



| \square | Reference code | Kit Components | French Sizes | Units Per Case |
|-----------|----------------|--------------------------------------|---------------------|----------------|
| | 6100-xx | Single Lumen Steri Cath® System | eri- 10, 12, 14, 16 | 20 |
| | | Swivel MDI Adapter Trac-Wedge™ | | |
| | | Device | | , |